

## Consultation document on the Dental Council's proposals for:

- **Draft 2019/20 budget**
- **Annual practising certificate fees and disciplinary levies for oral health practitioners**
- **Other fees** (registration, examinations, competence, accreditation etc.)

**Issued: 18 October 2018**

**Submissions close: 5pm, Friday 14 December 2018**

## 1. Introduction

The Dental Council (Council) sets fees and disciplinary levies for oral health practitioners<sup>1</sup> under sections 130 and 131 of the Health Practitioners Competence Assurance Act 2003 (the Act).

This consultation document sets out proposals and invites submissions from stakeholders on the Council's:

- draft 2019/20 budget
- proposed APC fees and disciplinary levies for dental therapists, dental hygienists (including orthodontic auxiliaries), dental technicians, clinical dental technicians and oral health therapists for the recertification year commencing 1 April 2019
- proposed APC fees and disciplinary levies for dentists and dental specialists for the recertification year commencing 1 October 2019
- proposed registration, examination, competence programme, fitness to practice and other miscellaneous fees effective from 1 April 2019.

Please provide your feedback by **5pm, Friday 14 December 2018**. The Council will consider all feedback during the consultation period and aims to make final decisions about fees and disciplinary levies at its January 2019 meeting.

The Council will give effect to any change in APC fees and disciplinary levies by placing a notice in the New Zealand Gazette.

## 2. Background

The Council abides by the good practice guidelines established by the Office of the Auditor-General and the Treasury.

The Council's budget, fees and levies are calculated on a full cost recovery basis. We are committed to operating cost effectively for our practitioners while balancing our obligations to protect the public.

The level of APC fee per practitioner depends on:

- the budgeted number of practitioners
- each profession's share of budgeted Council costs including capital expenditure
- direct profession income and expenditure budgets, and
- minimum reserve levels set for each profession.

A minimum level of reserves for each profession is maintained in accordance with the Council's *Level of Reserves Policy*.

To maintain appropriate operational reserves and sustain financial viability we:

- budget and forecast prudently
- ration and prioritise resources, and
- adjust annual practising fees to meet operational activities and to provide a buffer against an unknown number of competence cases, appeals or judicial reviews.

All financial tables have been included in Appendix 1.

Figures in this consultation document are exclusive of GST, except where stated in Tables 7 to 11.

---

<sup>1</sup> Dentists & dental specialists, dental therapists, dental hygienists & orthodontic auxiliaries, dental technicians & clinical dental technicians, and oral health therapists.

### 3. Proposed 2019/20 budget

#### Overall position

Council has budgeted for an overall 2019/20 deficit of \$(43,899) (Table 2).

The draft 2019/20 budget has been prepared to allow the Council to fulfil its regulatory functions and embed the new integrated IT platform. We have reprioritised some planned strategic projects (such as cultural competence and professional working relationships) to ensure we manage minimum reserves for each profession.

#### How we allocate net Council expenditure to the professions

Net Council expenditure is equivalent to Council income (from sources such as interest earned on term deposits) less Council expenditure. Typically this results in a net deficit, which is reallocated across professions based on time spent by Council and its staff servicing each profession.

The budgeted net Council expenditure for 2019/20 is a deficit of \$(2,950,735) (Table 3). We anticipate receiving insurance proceeds in 2018/19 and, in the absence of any unforeseen events, business continuity expenditure will cease, allowing the finance and risk management work streams expenditure to return to previous levels.

Detailed time recording of each member of the secretariat allows us to determine the proportion of net Council expenditure to be allocated to each profession. Time spent by the Council and its staff providing services to the individual professions each year fluctuates reflecting the number of recertification issues and competence, health and disciplinary notifications managed for practitioners from each profession.

Comparing time spent servicing each profession using the 2019/20 net Council expenditure, excluding the capital replacement APC fee, shows how cost allocation based on Council time varies across the professions (Table 4). This impacts the calculation of the APC fee for each profession.

From an operational perspective, the allocation of the net Council expenditure results in the following net operating surplus or deficits (deficits shown in brackets) for each profession (Table 5):

- dentists and dental specialists: \$(88,072)
- dental hygienists and orthodontic auxiliaries: \$94,107
- dental therapists: \$103,679
- dental technicians and clinical dental technicians: \$(8,102)
- oral health therapists: \$(8,871)

#### Capital expenditure

Capital expenditure of \$258,646 is budgeted for 2019/20 (Table 6). This includes the final stage of the IT infrastructure project, the roll-out of the electronic data management system (EDMS) and digitalisation of practitioner files. This expenditure will increase business continuity resilience in the future as it will enable Council to access practitioner files regardless of location.

As a result of the budgeted capital expenditure and repayment of the capital replacement reserve, the proposed capital replacement reserve APC fee is \$83.50 per practitioner for 2019/20.

## Dentists and dental specialists

The proposed APC fee and disciplinary levy for dentists and dental specialists for the period 1 October 2019 – 30 September 2020 are as follows:

	<i>Current fee</i>	<i>Proposed fee</i>
APC fee:	\$884.58	\$874.43
Disciplinary levy:	\$86.69	\$146.73
Total payable (excluding GST):	\$971.27	\$1,021.16

Subject to consultation, the APC and disciplinary levy will take effect on 1 October 2019.

### **APC fee**

A minimum operating reserve balance of \$1,072,468 is required to sustain the dentist and dental specialist profession. The 2018/19 operating reserve closing balance is forecasted at \$1,392,038. Therefore, the reserve will be reduced by \$159,785 (\$64.82 per practitioner).

Council proposes to set the 2019/20 dentist and dental specialist APC fee at \$874.43 plus GST (Table 7). This fee reflects movements primarily due to:

- an increase of \$61.25 resulting from an increase in the proportion of time spent servicing the profession (a movement from 61.2% to 66.5%)
- an increase of \$27.49 resulting from the 3.2% increase in secretariat costs.
- a decrease of \$3.45 resulting from reduced profession expenditure
- a decrease of \$52.79 resulting from reprioritisation of strategic projects as the secretariat focusses on delivering the integrated IT platform
- a decrease of \$40.86 to maintain the operational reserve at the prescribed level.

### **Disciplinary levy**

A minimum disciplinary reserve balance of \$226,427 is required to sustain the dentist and dental specialist profession. The 2018/19 estimated disciplinary reserve closing balance is \$334,433. As a result, \$108,006 (\$43.82 per practitioner) will be returned to the profession by reducing the proposed disciplinary levy.

Council proposes to set the dentist 2019/20 disciplinary levy at \$146.73 plus GST (Table 7), an increase of \$60.04 per practitioner compared to \$86.69 in 2018/19 (although this change results mainly from resetting the minimum disciplinary reserve in 2018/19). The increase reflects changes in volume and average costs of Professional Conduct Committee (PCC) and Health Practitioner Disciplinary Tribunal (HPDT) cases as outlined in Table 1 below.

**Table 1: Volume and average cost of PCC and HPDT cases**

Complexity	2017/18 actual		2018/19 forecast		2019/20 budget	
	Volume	Average Cost	Volume	Average Cost	Volume	Average Cost
<b>PCC</b>						
low	3	4,014	1	1,353	1	4,734
medium	2	15,983	2	14,580	2	11,810
high	-	-	2	39,057	2	26,879
<b>HPDT</b>						
low	-	-	1	36,631	1	36,189
medium	-	-	-	-	-	-
high	2	49,802	3	116,983	3	109,133

## Dental hygienists and orthodontic auxiliaries

The proposed APC fee and disciplinary levy for dental hygienists and orthodontic auxiliaries for the period 1 April 2019 – 31 March 2020 are as follows:

	<i>Current fee</i>	<i>Proposed fee</i>
APC fee:	\$610.94	\$736.77
Disciplinary levy:	\$125.17	\$1.11
Total payable (excluding GST):	\$736.11	\$737.88

Subject to consultation, the APC and disciplinary levy will take effect on 1 April 2019.

### APC fee

The operating reserve minimum balance will be held at 26,083 in 2019/20. The 2018/19 operating reserve closing balance is forecasted at a deficit of \$(91,919). As a result, the operational reserve requires funding of \$89,722 (\$233.65 per practitioner).

Council proposes to set the 2019/20 APC fee dental hygienists and orthodontic auxiliaries at \$736.77 plus GST (Table 8). The increase per practitioner of \$125.83 in 2019/20 is primarily due to:

- a decrease of \$73.46 resulting from a reduced proportion of time spent servicing the profession (a movement from 11.8% to 7.2%).
- an increase of \$195.70 to maintain the operational reserve at the prescribed level. The operating reserve fell below the prescribed level as a result of an under-recovery of 2017/18 operating costs. This was due to a lower than forecasted volume of practitioners registered as dental hygienists and orthodontic auxiliaries following the creation of the oral health therapist profession on 1 November 2017.

### Disciplinary levy

A minimum disciplinary reserve balance of \$25,000 is required to sustain the dental hygienist and orthodontic auxiliary profession. The projected 2018/19 disciplinary reserve closing balance is \$30,611. Therefore \$5,611 (\$14.61 per practitioner) will be returned to the profession. In-year disciplinary expenditure equivalent to \$15.72 per practitioner is based on a budget of one medium complexity PCC case.

The Council proposes a total disciplinary levy of \$1.11 plus GST (Table 8) for the dental hygienist and orthodontic auxiliary 2019/20 disciplinary levy.

## Dental therapists

The proposed APC fee and disciplinary levy for dental therapists for the period 1 April 2019 – 31 March 2020 are as follows:

	<i>Current fee</i>	<i>Proposed fee</i>
APC fee:	\$704.60	\$842.44
Disciplinary levy:	\$90.07	\$(46.46)
Total payable (excluding GST):	\$794.67	\$795.98

Subject to consultation, the APC and disciplinary levy will take effect on 1 April 2019.

### APC fee

A minimum operating reserve balance of \$28,859 is required to sustain the dental therapist profession. The 2018/19 projected closing operating reserve balance is overdrawn by \$(209,641). Therefore a contribution of \$95,526 is required (\$233.56 per practitioner).

Council proposes to set the 2019/20 dental therapist APC fee at \$842.44 plus GST (Table 9). The increase per practitioner of \$137.84 is primarily due to:

- a decrease of \$16.91 resulting from a decrease in the proportion of time spent servicing the profession (a movement from 13.2% to 7.8%).
- an increase of \$151.21 to maintain the operational reserve at the prescribed level. The operating reserve fell below the prescribed level as a result of an under-recovery of 2017/18 operating costs. This was due to a lower than forecasted volume of practitioners registered as dental therapists following the creation of the oral health therapist profession on 1 November 2017 and an increase in the number of therapists choosing to change their status from practising to non-practising.

### Disciplinary levy

A minimum disciplinary reserve balance of \$25,000 is required to sustain the dental therapist profession. The projected 2018/19 disciplinary reserve closing balance is \$49,270. Therefore \$24,270 (\$59.34 per practitioner) will be returned to the profession. In-year disciplinary expenditure equivalent to \$12.88 per practitioner is based on a budget of one medium complexity PCC case.

Council proposes an overall disciplinary levy refund of \$(46.46) plus GST (Table 9) for the dental therapist 2019/20 disciplinary levy.

## Dental technicians and clinical dental technicians

The proposed APC fee and disciplinary levy for dental technicians and clinical dental technicians for the period 1 April 2019 – 31 March 2020 are as follows:

	<i>Current fee</i>	<i>Proposed fee</i>
APC fee:	\$638.23	\$654.98
Disciplinary levy:	\$99.58	\$73.95
Total payable (excluding GST):	\$737.81	\$728.93

Subject to consultation, the APC and disciplinary levy will take effect on 1 April 2019.

### **APC fee**

A minimum operating reserve balance of \$28,155 is required to sustain the dental technician and clinical dental technician profession. The projected 2018/19 operating reserve closing balance is \$53,657. As a result, \$12,751 (\$35.42 per practitioner) will be returned to the profession via a reduction against the proposed APC fee.

Council proposes to set the 2019/20 dental technician and clinical dental technician APC fee at \$654.98 plus GST (Table 10). The increase per practitioner of \$16.75 is primarily due to an increase in the proportion of time spent servicing the profession (a movement from 7.4% to 7.6%).

### **Disciplinary levy**

A minimum disciplinary reserve balance of \$32,000 is required to sustain the dental technician and clinical dental technician profession. The projected 2018/19 disciplinary reserve closing balance is \$12,614 due to a HPDT hearing scheduled for November 2018 and the same practitioner incurring higher than budgeted costs for the PCC.

As a result, \$19,386 (\$53.85 per practitioner) is required to return the disciplinary reserve to its minimum level as prescribed in the Council's *Level of Reserves Policy*. In-year disciplinary expenditure equivalent to \$20.10 per practitioner is based on a budget of one low complexity PCC case.

Council proposes an overall disciplinary levy of \$73.95 plus GST (Table 10) for the dental technician and clinical dental technician 2019/20 disciplinary levy.

## Oral health therapists

The proposed APC fee and disciplinary levy for oral health therapists for the period 1 April 2019 – 31 March 2020 are as follows:

	<i>Current fee</i>	<i>Proposed fee</i>
APC fee:	\$663.85	\$752.09
Disciplinary levy:	\$154.19	\$(101.26)
Total payable (excluding GST):	\$818.04	\$650.84

Subject to consultation, the APC and disciplinary levy will take effect on 1 April 2019.

### **APC fee**

A minimum operating reserve balance of \$63,025 is required to sustain the oral health therapist profession. The 2018/19 projected operating reserve opening balance is \$103,795. As a result, funding of \$20,385 (\$42.65 per practitioner) will be returned to the profession.

Council proposes to set the 2018/19 oral health therapist APC fee at \$752.09 plus GST (Table 11). This increase of \$88.24 is primarily due to an increase in the allocation of secretariat time spent servicing the profession (a movement from 6.33% to 10.8%).

### **Disciplinary levy**

A minimum disciplinary reserve balance of \$32,000 is required to sustain the oral health therapist profession. The 2018/19 projected disciplinary reserve closing balance is \$86,498. As a result, \$54,498 (\$114.01 per practitioner) will be returned to the profession to reset the disciplinary reserve to its minimum level.

In-year disciplinary expenditure equivalent to \$12.76 per practitioner is based on a budget of one low complexity PCC case. Council proposes an overall disciplinary levy refund of \$(101.26) plus GST (Table 11) for the oral health therapists 2019/20 disciplinary levy.



#### 4. Proposed 2019/20 annual practising certificate fees

The following is a summary of the proposed 2019/20 APC fees, as detailed above:

Profession	Calculated fee \$	Min. operational reserve adj. \$	Net proposed fee \$
Dentists and dental specialists	939.25	(64.82)	874.43
Dental hygienists and orthodontic auxiliaries	503.12	233.65	736.77
Dental therapists	608.88	233.56	842.44
Dental technicians and clinical dental technicians	690.40	(35.42)	654.98
Oral health therapists	794.74	(42.65)	752.09

#### 5. Proposed 2019/20 disciplinary levy

The following is a summary of the proposed 2019/20 disciplinary levies, as detailed above:

Profession	Calculated levy \$	Min. disciplinary reserve adj. \$	Net proposed levy \$
Dentists and dental specialists	190.55	(43.82)	146.73
Dental hygienists and orthodontic auxiliaries	15.72	(14.61)	1.11
Dental therapists	12.88	(59.34)	(46.46)
Dental technicians and clinical dental technicians	20.10	53.85	73.95
Oral health therapists	12.76	(114.02)	(101.26)

#### 6. Adjustments to other fees

The Council proposes to increase all other fees by the Consumer Price Index (CPI) of 2.0% as sourced from the Reserve Bank Monetary Policy Statement May 2018 (Appendix 2).

## 7. Summary of consultation proposals

The Council is consulting on:

1. The proposed 2019/20 budget.
2. A proposed APC fee of:
  - a. Dentists and dental specialists of \$874.43 plus GST (detailed in table 7)
  - b. Dental hygienists and orthodontic auxiliaries of \$736.77 plus GST (detailed in table 8)
  - c. Dental therapists of \$842.44 plus GST (detailed in table 9)
  - d. Dental technicians and clinical dental technicians of \$654.98 plus GST (detailed in table 10)
  - e. Oral health therapists of \$752.09 plus GST (detailed in table 11).
3. A proposed CPI adjustment equivalent to 2.0% for all other fees (registration, examination, competence, health accreditation etc.).
4. A proposed disciplinary levy for:
  - a. Dentists and dental specialists of \$146.73 plus GST (detailed in table 7)
  - b. Dental hygienists and orthodontic auxiliaries of \$1.11 plus GST (detailed in table 8)
  - c. Dental therapists a refund of \$(46.46) plus GST (detailed in table 9)
  - d. Dental technicians and clinical dental technicians of \$73.95 plus GST (detailed in table 10)
  - e. Oral health therapists a refund of \$(101.26) plus GST (detailed in table 11).

The proposed dentists and dental specialist APC fee and disciplinary levy shall apply from 1 October 2019. All other fees and levies proposed shall apply from 1 April 2019.

## 8. Please give us your feedback and submissions

The Council invites feedback on this consultation document from its stakeholders including oral health practitioners, relevant associations and societies, the Ministry of Health, district health boards and other organisations with an interest. The consultation document will also be available on the Council's website for feedback from any interested member or sector of the public.

The Council will consider all submissions and feedback received prior to making a final decision on the draft 2019/20 budget and proposed fees outlined in this document.

Submissions received will be published on the Council's website and will remain available to the public. All personal contact details will be removed from submissions. The Council reserves the right not to publish any submissions it considers derogatory or inflammatory.

As this is a public consultation, "in confidence" information will only be accepted under special circumstances. Please contact us before submitting material in confidence.

Please ensure we receive your submissions by **5pm on Friday 14 December 2018** at the latest.

Please send your submissions to:

Dental Council  
PO Box 10-448  
Wellington 6143  
Fax: 04 499 1668  
Email: [consultations@dcnz.org.nz](mailto:consultations@dcnz.org.nz)

Yours sincerely,

A handwritten signature in black ink that reads "Warner". The signature is written in a cursive, flowing style.

Marie Warner  
Chief Executive

## Appendix 1: 2019/20 proposed budget

**Table 2: Consolidated Dental Council surplus / (deficit)**

Dental Council financial performance	2017/18	2018/19	2019/20
\$	Actual	Budget	Budget
<b>Summary</b>			
Total Council operating income	3,684,325	4,162,341	3,952,659
Total Council disciplinary income	435,017	481,374	357,696
<b>Total Council income</b>	<b>4,119,342</b>	<b>4,643,716</b>	<b>4,310,356</b>
Total Council operating expenditure	3,705,872	3,942,583	3,859,918
Total Council disciplinary expenditure	273,101	424,175	494,337
<b>Total Council expenditure</b>	<b>3,978,972</b>	<b>4,366,757</b>	<b>4,354,254</b>
<b>Total Council surplus / (deficit)</b>	<b>140,369</b>	<b>276,958</b>	<b>(43,899)</b>

**Table 3: Net Council expenditure prior to allocation to the professions**

<b>Council Activity</b>	<b>2017/18</b>	<b>2018/19</b>	<b>2019/20</b>
<b>\$</b>	<b>Actual</b>	<b>Budget</b>	<b>Budget</b>
<b>Income</b>			
Capital replacement (APC fees)	253,864	380,616	344,471
Other income	72,281	37,027	20,146
<b>Total income</b>	<b>326,145</b>	<b>417,644</b>	<b>364,616</b>
<b>Expenditure</b>			
<b>General administration expenditure (secretariat)</b>	<b>2,242,985</b>	<b>2,370,706</b>	<b>2,445,484</b>
Audit, legal, insurance and financial expenses	169,354	114,739	123,977
Building and infrastructure expenses	182,327	180,720	188,712
Personnel related costs	1,755,033	1,805,182	1,843,222
Office costs	66,472	53,924	54,616
Depreciation expense	69,799	216,140	234,956
<b>Ongoing workstreams</b>	<b>796,206</b>	<b>620,883</b>	<b>703,462</b>
Council	216,794	275,549	301,862
Finance and risk management	281,188	(105,435)	48,686
Information technology	147,389	150,142	141,100
Liaison	71,762	102,956	61,896
Planning and governance	4,000	52,696	37,997
Continuing professional development	188	10,070	-
Communications	27,827	33,180	32,560
Workforce data	8,520	13,000	-
Contingency	-	10,000	10,000
Education and accreditation	38,538	78,726	69,361
<b>Council Project Expenditure</b>	<b>76,029</b>	<b>274,908</b>	<b>166,406</b>
Standards	760	-	20,000
Cross infection	470	-	-
Cultural competence	4,026	99,069	-
Informed consent	11,000	-	-
Record keeping	15,575	-	-
Professional working relationships	-	9,770	7,506
Transmissible major viral infection	90	-	-
Advanced and new areas practice	2,799	-	-
Recertification policy framework	41,308	114,110	38,000
Policy review	-	51,960	50,000
Medical emergencies	-	-	-
Data Strategy	-	-	38,400
Governance	-	-	12,500
<b>Total Council Expenditure</b>	<b>3,115,220</b>	<b>3,266,497</b>	<b>3,315,352</b>
<b>Net surplus (deficit) from Council Activities</b>	<b>(2,789,075)</b>	<b>(2,848,854)</b>	<b>(2,950,735)</b>

**Table 4: Allocation of the net deficit from Council activities**

Comparison of cost allocation rates	Council	Dentists	Hygienists	Therapists	Technicians	Oral Health Therapists	Total
Year ended 31 March 19 net Council expenditure including depreciation	3,295,206						
Less: capital replacement fee	(344,471)						
Net surplus / (deficit) from Council activities as above	<b>2,950,735</b>						
Year ended 31 March 19 allocation rates		61.2052%	11.8176%	13.2363%	7.4091%	6.3318%	100.0000%
Cost allocation		1,806,004	348,706	390,567	218,623	186,835	2,950,735
Plan 19/20 allocation rates		66.5127%	7.2844%	7.8793%	7.6549%	10.6687%	100.0000%
Cost allocation		1,962,612	214,943	232,499	225,876	314,806	2,950,735
<b>Variance</b>		<b>156,608</b>	<b>(133,763)</b>	<b>(158,068)</b>	<b>7,252</b>	<b>127,971</b>	<b>0</b>

**Table 5: Budgeted operating surplus / (deficit) by profession**

2019/20 budgeted surplus / (deficit) by profession prior to allocation	Council	Dentists	Hygienists	Therapists	Technicians	Oral Health Therapists	Total
<b>Income</b>							
From recertification (APC fees)		1,948,159	250,627	310,160	205,516	319,301	3,033,763
From capital replacement (APC fees)	344,471	-	-	-	-	-	344,471
From other activities	20,146	335,024	72,783	64,011	56,386	26,075	574,425
<b>Total income</b>	<b>364,616</b>	<b>2,283,182</b>	<b>323,409</b>	<b>374,172</b>	<b>261,903</b>	<b>345,377</b>	<b>3,952,659</b>
<b>Expenditure</b>							
General administration (secretariat)	2,445,484	-	-	-	-	-	2,445,484
Council ongoing workstreams	703,462	-	-	-	-	-	703,462
Council project expenditure	166,406	-	-	-	-	-	166,406
Profession ongoing workstreams	-	408,641	8,835	37,994	37,457	39,442	532,369
Profession project expenditure	-	-	5,525	-	6,672	-	12,197
<b>Total expenditure</b>	<b>3,315,352</b>	<b>408,641</b>	<b>14,360</b>	<b>37,994</b>	<b>44,129</b>	<b>39,442</b>	<b>3,859,918</b>
<b>Net surplus / (deficit)</b>	<b>(2,950,735)</b>	<b>1,874,541</b>	<b>309,050</b>	<b>336,178</b>	<b>217,774</b>	<b>305,935</b>	<b>92,741</b>
Allocation		66.5127%	7.2844%	7.8793%	7.6549%	10.6687%	100%
<b>Income</b>							
From recertification (APC fees)	-	1,948,159	250,627	310,160	205,516	319,301	3,033,763
From capital replacement (APC fees)	-	229,117	25,093	27,142	26,369	36,751	344,471
From other activities	-	348,423	74,250	65,599	57,928	28,225	574,425
<b>Total income</b>	<b>-</b>	<b>2,525,698</b>	<b>349,969</b>	<b>402,901</b>	<b>289,814</b>	<b>384,277</b>	<b>3,952,659</b>
<b>Expenditure</b>							
General administration (secretariat)	-	1,626,556	178,138	192,688	187,199	260,902	2,445,484
Council ongoing workstreams	-	467,891	51,243	55,428	53,849	75,050	703,462
Council project expenditure	-	110,681	12,122	13,112	12,738	17,753	166,406
Profession ongoing workstreams	-	408,641	8,835	37,994	37,457	39,442	532,369
Profession project expenditure	-	-	5,525	-	6,672	-	12,197
<b>Total expenditure</b>	<b>-</b>	<b>2,613,770</b>	<b>255,862</b>	<b>299,222</b>	<b>297,915</b>	<b>393,148</b>	<b>3,859,918</b>
<b>Net surplus / (deficit) from Council activities</b>	<b>-</b>	<b>(88,072)</b>	<b>94,107</b>	<b>103,679</b>	<b>(8,102)</b>	<b>(8,871)</b>	<b>92,741</b>

**Table 6: Capital expenditure**

Capex Item	2017/18	2018/19	2019/20
\$000's	Actual	Budget	Budget
Computer hardware	26	60	20
Office equipment	1	5	5
Office furniture	1	10	5
Computer software	11	128	229
Work In Progress	709	-	-
<b>Total</b>	<b>748</b>	<b>203</b>	<b>259</b>

**Table 7: Proposed 2018 APC fee and disciplinary levy for dentists and dental specialists**

Proposed APC fee and disciplinary levy	2017/18	2018/19	2019/20
	Actual	Actual	Proposed
APC Fee	809.02	826.54	855.15
Capital replacement reserve	62.71	84.71	84.10
Minimum reserve top-up / (refund)	12.85	(26.67)	(64.82)
<b>Total APC fee</b>	<b>884.58</b>	<b>884.58</b>	<b>874.43</b>
Disciplinary levy	172.69	166.61	190.55
Minimum reserve top-up / (refund)	(12.79)	(79.92)	(43.82)
<b>Total disciplinary levy</b>	<b>159.90</b>	<b>86.69</b>	<b>146.73</b>
<b>Total proposed APC fee and disciplinary levy excl GST</b>	<b>1,044.48</b>	<b>971.27</b>	<b>1,021.16</b>
GST	156.67	145.69	153.17
<b>Total Proposed APC fee and disciplinary levy incl GST</b>	<b>1,201.15</b>	<b>1,116.96</b>	<b>1,174.33</b>

**Table 8: Proposed 2018 APC fee and disciplinary levy for dental hygienists and orthodontic auxiliaries**

Proposed APC fee and disciplinary levy	2017/18	2018/19	2019/20
	Actual	Actual	Proposed
APC fee	498.63	488.28	419.03
Capital replacement reserve	62.71	84.71	84.10
Minimum reserve top-up / (refund)	(3.43)	37.95	233.65
<b>Total APC fee</b>	<b>557.91</b>	<b>610.94</b>	<b>736.77</b>
Disciplinary levy	14.39	14.44	15.72
Minimum reserve top-up / (refund)	(18.72)	110.73	(14.61)
<b>Total disciplinary levy</b>	<b>(4.33)</b>	<b>125.17</b>	<b>1.11</b>
<b>Total proposed APC fee and disciplinary levy excl GST</b>	<b>553.58</b>	<b>736.11</b>	<b>737.88</b>
GST	83.04	110.42	110.68
<b>Total proposed APC fee and disciplinary levy incl GST</b>	<b>636.62</b>	<b>846.53</b>	<b>848.56</b>



**Table 9: Proposed 2018 APC fee and disciplinary levy for dental therapists**

Proposed APC fee and disciplinary levy	2017/18	2018/19	2019/20
	Actual	Actual	Proposed
APC Fee	544.54	537.54	524.77
Capital replacement reserve	62.71	84.71	84.10
Minimum reserve top-up / (refund)	12.82	82.35	233.56
<b>Total APC fee</b>	<b>620.07</b>	<b>704.60</b>	<b>842.44</b>
Disciplinary levy	5.88	7.35	12.88
Minimum reserve top-up / (refund)	(8.13)	82.72	(59.34)
<b>Total disciplinary levy</b>	<b>(2.25)</b>	<b>90.07</b>	<b>(46.46)</b>
<b>Total proposed APC fee and disciplinary levy excl GST</b>	<b>617.82</b>	<b>794.67</b>	<b>795.98</b>
GST	92.67	119.20	119.40
<b>Total proposed APC fee and disciplinary levy incl GST</b>	<b>710.49</b>	<b>913.87</b>	<b>915.37</b>

**Table 10: Proposed 2018 APC fee and disciplinary levy for dental technicians and clinical dental technicians**

Proposed APC fee and Disciplinary Levy	2017/18	2018/19	2019/20
	Actual	Actual	Proposed
APC fee	600.00	590.25	606.30
Capital replacement reserve	62.71	84.71	84.10
Minimum reserve top-up / (refund)	(53.58)	(36.73)	(35.42)
<b>Total APC fee</b>	<b>609.13</b>	<b>638.23</b>	<b>654.98</b>
Disciplinary levy	30.84	29.67	20.10
Minimum reserve top-up / (refund)	(40.24)	69.91	53.85
<b>Total disciplinary levy</b>	<b>(9.40)</b>	<b>99.58</b>	<b>73.95</b>
<b>Total proposed APC fee and disciplinary levy excl GST</b>	<b>599.73</b>	<b>737.81</b>	<b>728.93</b>
GST	89.96	110.67	109.34
<b>Total proposed APC fee and disciplinary levy incl GST</b>	<b>689.69</b>	<b>848.48</b>	<b>838.27</b>

**Table 11: Proposed 2018 APC fee and disciplinary levy for oral health therapists**

Proposed APC fee and disciplinary levy	2018/19	2019/20
	Actual	Proposed
APC fee	476.07	710.64
Capital replacement reserve	84.71	84.10
Minimum reserve top-up / (refund)	103.07	(42.65)
<b>Total APC fee</b>	<b>663.85</b>	<b>752.09</b>
Disciplinary levy	21.03	12.76
Minimum reserve top-up / (refund)	133.16	(114.01)
<b>Total disciplinary levy</b>	<b>154.19</b>	<b>(101.26)</b>
<b>Total proposed APC fee and disciplinary levy excl GST</b>	<b>818.04</b>	<b>650.84</b>
GST	122.71	97.63
<b>Total proposed APC fee and disciplinary levy incl GST</b>	<b>940.75</b>	<b>748.46</b>

## Appendix 2: Other fees

Fee	Current fee \$	Proposed fee \$
Retention fee	112.36	114.61
Application fee to transfer from non-practising to practising status, where application is subject to Council's recency of practice policy	284.95	290.65
Application for registration fee	474.92	484.42
Application for registration for overseas applicant with prescribed qualifications and experience	1,187.32	1,211.07
Application for registration for applicant with non-prescribed qualifications and experience to be registered under section 15(2) of the Act for dentists, hygienists, therapists, clinical dental technicians, dental technicians, orthodontic auxiliaries	3,583.83	3,655.51
Application for registration for applicant with non-prescribed specialist qualifications and experience to be registered under section 15(2) of the Act for dental specialists	4,569.31	4,660.70
Resubmission of application for assessment of non-prescribed qualifications and experience to be registered under section 15(2) of the Act	2,789.58	2,845.37
Application for removal of an exclusion(s) on the scope of practice after completion of a Dental Council approved course for hygienists, therapists and orthodontic auxiliaries	261.20	266.42
Application for removal of an exclusion(s) on the scope of practice after completion of a course not approved by the Dental Council for hygienists, therapists and orthodontic auxiliaries	474.92	484.42
Application for registration with a prescribed qualification in an additional scope of practice for therapists and clinical dental technicians	474.92	484.42
Application for registration with a non-prescribed qualification in an additional scope of practice for therapists and clinical dental technicians	734.26	748.95
Application to undertake supervised activities for orthodontic auxiliaries while undertaking an approved training course.	94.97	96.87
The New Zealand Dental Hygiene Registration Examination		
NZDHREX - written examination where there is less than a total of 5 candidates sitting the same examination	3,193.93	3,257.81
NZDHREX - written examination where there is a total of 5 or more candidates sitting the same examination	2,621.40	2,673.83
NZDHREX - clinical examination where there is less than a total of 5 candidates sitting the same examination)	4,943.54	5,042.41
NZDHREX - clinical examination where there is a total of 5 or more candidates sitting the same examination	4,416.91	4,505.25

Fee	Current fee \$	Proposed fee \$
<b>The New Zealand Dental Therapy Registration Examination</b>		
NZDTREX - written examination where there is less than a total of 5 candidates sitting the same examination	3,193.93	3,257.81
NZDTREX - written examination where there is a total of 5 or more candidates sitting the same examination	2,621.40	2,673.83
NZDTREX - clinical examination where there is less than a total of 5 candidates sitting the same examination	4,943.54	5,042.41
NZDTREX - clinical examination where there is a total of 5 or more candidates sitting the same examination	4,416.91	4,505.25
<b>The New Zealand Oral Health Therapy Registration Examination</b>		
NZDOHTREX - written examination where there is less than a total of 5 candidates sitting the same examination	3,193.93	3,257.81
NZDOHTREX - written examination where there is a total of 5 or more candidates sitting the same examination	2,621.40	2,673.83
NZDOHTREX - clinical examination where there is less than a total of 5 candidates sitting the same examination	4,943.54	5,042.41
NZDOHTREX - clinical examination where there is a total of 5 or more candidates sitting the same examination	4,416.91	4,505.25
<b>The New Zealand Dental Technology Registration Examination</b>		
NZDTechREX - Combined Examination where there is less than a total of 5 candidates sitting the same examination	3,948.94	4,027.92
NZDTechREX - Combined Examination where there is a total of 5 or more candidates sitting the same examination	3,328.31	3,394.88
<b>The New Zealand Dental Specialist Registration Examinations</b>		
New Zealand Dental Specialist Registration Examination	18,642.67	19,015.52
<b>Professional standards programme fees <sup>1,2,3</sup></b>		
Supervision <sup>1,2,3</sup> (12-month programme)	6,116.21	6,238.53
Oversight <sup>1,2,3</sup> (12-month programme)	3,968.82	4,048.20
<b>Competence/Recertification Programme: <sup>1,2,3</sup></b>		
Distance learning - per module	3,074.08	3,135.56
Clinical training - per practice area of training	5,261.00	5,366.22
<b>Health programme fees <sup>1,2,3</sup></b>		
<b>Drug and alcohol screening programme, set up and administration <sup>1,2,3</sup></b>		
Set-up and administration for the first 12 months	869.27	886.66
Administration fee for each 12 month period thereafter	434.63	443.32
Third party testing - fee per test	124.85	127.35

<sup>1</sup> Fee will be adjusted for any specific requirements of the individual programme and 3rd party costings at the time the programme is established.

<sup>2</sup>The practitioner will be charged direct professional fees and travel and accommodation expenses for supervisors, those providing oversight, tutors, course administrators etc. These expenses will be charged on an actual and reasonable basis in accordance with the Dental Council's fees and payments and expenditure decisions and probity policies. Course materials, venue costs and administration expenses such as printing and postage will also be charged based on actual costs.

<sup>3</sup>The practitioner will be charged for secretariat administrative overheads at \$62.45 an hour.

Education fees		
Application for "removal of exclusion" course approval by providers <u>who do provide training</u> as part of a primary Dental Council accredited qualification	1,806.97	1,843.11
Application for "removal of exclusion" course approval by providers <u>who do not provide training</u> as part of a primary Dental Council accredited qualification	3,915.18	3,993.48
Application for approval of courses to equip registered oral health practitioners for registration in an additional scope of practice for course providers who do provide training as part of a primary Dental Council accredited qualification	3,513.33	3,583.60
Application for approval of courses to equip registered oral health practitioners for registration in an additional scope of practice for course providers who do not provide training as part of a primary Dental Council accredited qualification	6,151.73	6,274.76
Other fees		
Administration fee	45.90	46.82
Application for additional registration advice	474.92	484.42
Application for restoration to the Register	474.92	484.42
Supply of certificate of good standing	94.97	96.87
Supply of replacement registration certificate or any other certificate	94.97	96.87
Supply of electronic copy of Register	208.08	212.24